# Characterization of the Glass Transition Using a Microindenter

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#### **SYNOPSIS**

The microindenter described here requires a very small sample (c. 10 mg), can be used with heating rates comparable to those in DSC (i.e.,  $10^{\circ}C/min$ ), and gives a large, clearly defined change at the glass transition. The essential features are a needle probe and a linear variable differential transformer (LVDT). By suitably controlling the force on the needle, the rate of heating, and the sample thickness, reproducible results can be obtained. The small sample size permits a relatively fast heating rate. Most mechanical tests (often using oscillating stress and strain) require large samples and a correspondingly long time for temperature equilibration to take place (ASTM D 4065). Another indentation test, the Vicat Softening Temperature (ASTM 1525) also uses a thick (3 mm) sample. Like the Vicat test, the present procedure is best suited to amorphous polymers. The application to plasticized samples of poly(methyl methacrylate) illustrates the utility of the method. The simple, rugged, and inexpensive nature of the apparatus makes it suitable for an undergraduate experiment in a polymer laboratory course.

# INTRODUCTION

The glass transition temperature,  $T_g$ , is usually defined in terms of the change in expansion coefficient as a polymer goes from the glassy to the rubbery state. Dilatometers for measuring the specific volume at various temperatures are not very convenient to use. When a confining fluid such as mercury is used, the expansion of the fluid can obscure the changes in the polymer, and air bubbles and leaks can be persistent and annoying. The most common test in use today to measure  $T_g$  is the differential scanning calorimeter, DSC (ASTM D3418). However, when a broad transition range occurs, as in polymer blends and plasticized polymers, reproducibility is poor. Mechanical tests, usually using oscillating stress and strain, require larger samples and a correspondingly longer time for temperature equilibration to take place (ASTM D 4065). Often the temperature is changed in 2 to 5°C steps with 2 to 5 min at each interval. An indentation test, the Vicat Softening Temperature (ASTM 1525) is used primarily with amorphous polymers. In the test, a

flat-ended cylinder with a cross-sectional area of 1 mm<sup>2</sup> is loaded with a 1.0 kg mass. The softening temperature is that needed to achieve a penetration of 1 mm into a specimen which is at least 3 mm thick. The specimen is immersed in a noninteracting liquid and the fastest recommended heating rate is  $2^{\circ}C/\min$ . Schwartz has described the use of a thermomechanical analyzer to evaluate  $T_g$  by measuring either sample thermal expansion or probe penetration.<sup>1</sup> Testing was limited to thick samples (2 to 3 mm) and low heating rates (8°C/min or lower). More recently, Darlix et al.<sup>2</sup> used a ball (1-mm D) indentation test with samples about 10-mm thick to characterize transitions in crystalline and amorphous polymers.

Characterization of polymers by thermomechanical and other tests has been reviewed by Shalaby.<sup>3</sup> The relationship between modulus and indentation hardness has been described by Arridge<sup>4</sup> and by Muhr and Thomas.<sup>5</sup> The transition temperatures measured by these various techniques will not necessarily agree with each other, even when the time scale is of the same magnitude.

Probably the most important practical aspect of  $T_g$  is that it represents for most amorphous polymers the upper limiting temperature for dimensional sta-

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Variable voltage for heater

Figure 1 Microindenter.

bility. Glassy polymers usually have a deflection temperature (still often called the "heat distortion" temperature) close to  $T_g$  (ASTM 648).

The microindenter described here requires a very small sample, can be used with heating rates comparable to those in DSC (i.e.,  $10^{\circ}C/min$ ), and gives a large, clearly defined change at the transition. By suitably controlling the force, time, and sample thickness, reproducible results can be obtained.

#### APPARATUS

The heart of the apparatus is a linear variable differential transformer (LVDT) and carrier-demodulator (Crescent-East, Inc.) (Fig. 1). When the carrier is energized with a 10 V AC current, the output is a DC signal of about 30 mV for a core movement of 1 mm. The coil is supported by a leg which rests on a coupon (a piece of aluminum about  $0.05 \times 1$  $\times 2$  cm). The core is fitted with a needle (tip radius is 35  $\mu$ m) which rests on the polymer sample. The



Figure 2 Specimen details.



Figure 3 Microindenter trace for poly(ethyl methacrylate); 63  $\mu$ m thick, 10 K/min.

needle can be loaded with various masses. With the output recorded on a 5-mV full-scale chart, core (needle) movements of 1 or 2  $\mu$ m are seen easily.

Various means of controlling the rate of temperature increase of the sample can be used. Perhaps the simplest and least expensive is an aluminum block (Fig. 1) with a 100 W heater (rheostat controlled) and a thermocouple for temperature measurement. The rate of temperature increase is not quite linear, but decreases only by about 20% over the range from room temperature to 200°C. A programmable hot stage (Mettler) has also been used. In any case, the essential feature is the recording of indentation versus temperature.

The sample (Fig. 2) is held in place on an aluminum coupon by the needle. We have used common



Figure 4 PMMA ( $M_w = 950 \times 10^3$ ) plasticized by di(butoxyethyl) phthalate. Transition temperatures measured using: (O) microindenter (at penetration of 10  $\mu$ m, 10 K/min); and ( $\Delta$ ) DSC (20 K/min).

### PROCEDURE

is rather uniform.

The specimen is placed on the aluminum coupon. With the assembly in place on the cold aluminum block, the coil support and needle are placed on the bare coupon and on the specimen, respectively. The coil versus core position usually has to be adjusted to be in the linear range of response. A recorder with an adequate zero displacement capability makes this easier. The heating is started (the block can be refrigerated before the experiment in order to extend the range of temperatures). A typical run (Fig. 3) shows some small rate of penetration until the vicinity of the glass transition is reached. The slope changes rapidly as the rubbery melt region is entered.

Several methods of interpreting the results can be utilized. With a standardized needle force (60 g), sample thickness (50 to 100  $\mu$ m), and rate of heating (10 K/min), the temperature corresponding to a penetration of 10  $\mu$ m appears to correlate well with the transition temperature measured by DSC.



Figure 5 PMMA ( $M_{\omega} = 950 \times 10^3$ ) plasticized by poly(ethyleneoxide), lower curve and by poly(epichlorohydrin), upper curve. Transition temperatures measured using: ( $O, \oplus$ ) microindenter (at penetration of 10  $\mu$ m, 10 K/min); ( $\Delta, \nabla$ ) DSC (20 K/min).



**Figure 6** Polystyrene  $(M_w = 261 \times 10^3)$  plasticized by di(butoxyethyl) phthalate. Transition temperatures measured using: (O) microindenter (at penetration of 10  $\mu$ m, 10 K/min); ( $\Delta$ ) DSC (20 K/min).

## **RESULTS AND DISCUSSION**

Films 50- to  $100-\mu m$  thick were made by dissolving a polymer with or without a plasticizer in a suitable solvent, then casting the solution on a glass plate. After air drying, the films were baked at 140°C for 30 min. The same materials were evaluated in the microindenter and in a DSC (Perkin-Elmer Model II).

In a representative penetration trace (for poly(ethyl methacrylate), PEMA) (Fig. 3), the transition is easily seen in the microindenter plot. Several plasticizers were evaluated in poly(methyl methacrylate), PMMA, and in poly(styrene). One ester-type plasticizer, DBEP, di(butoxyethyl)phthalate,<sup>7</sup> is compatible with both polymers and about equally effective at lowering  $T_{g}$  of both (Figs. 4 and 6). PMMA is also compatible (Fig. 5) with two polymeric plasticizers, PEO [poly(ethylene oxide) with a  $M_w$  of 4  $\times$  10<sup>3</sup>]<sup>8</sup> and CO rubber [poly(epichlorohydrin) with a  $M_w$  of  $600 \times 10^3$ ].<sup>9</sup> In the range of concentrations tested, the lowering of  $T_{e}$  is approximately linear. The plasticizing efficiency in PMMA can be compared by examining the  $T_g$  at 20% (by weight) plasticizer. The lowering is greatest for PEO ( $T_g = 63^\circ$ ), less for DBEP ( $T_g$ = 71°), and least for CO rubber ( $T_g = 82^\circ$ ). The



Figure 7 Effect of thickness on  $T_g$  (microindenter) at a heating rate of 10 K/min.

temperatures measured by both the microindenter and DSC for these amorphous polymers are in reasonable agreement.

The effect of film thickness in the range of 50 to 100  $\mu$ m is small but systematic (Fig. 7). The influence of heating rate on  $T_g$  was investigated in a preliminary manner. A particular sample of a commercial polystyrene 50- $\mu$ m thick gave  $T_g$ 's of 109 and 115°C at heating rates of 6 and 15°C/min, respectively.

#### **Temperature Uniformity**

The effect of thickness on uniformity of temperature can be estimated in a convenient fashion by using, as a very rough approximation, the time it takes for the center line of a polymer slab to approach the temperature of the surface. We assume that the center is initially at temperature  $t_0$ , and that the surface is at temperature  $T_0$ . The time,  $\theta$ , for the center line temperature to reach a value of t is a function of a dimensionless group,

$$N = 4\kappa\theta / (c\rho\lambda^2) \tag{1}$$

where  $\kappa$  = thermal conductivity, say  $3 \times 10^{-4}$  cal/s cm °C; c = specific heat, say 0.3 cal/g °C;  $\rho$  = density, say 1.2 g/cm<sup>3</sup>;  $\lambda$  = slab thickness, cm.

The time for  $(T_0 - t) = 0.01(T_0 - t_0)$  is given by  $N = 2.^{10}$  Thus, the "time scale" for good temperature control can be estimated (using the numbers for the various parameters given above) to be

$$\theta = 600\lambda^2 \tag{2}$$

The "time scale"  $\theta$  calculated in this manner is 150 sec for  $\lambda = 5$  mm, 6 sec for  $\lambda = 1$  mm, and 0.06 sec for  $\lambda = 0.1$  mm (about 0.004-inch), which is the typical thickness used with the microindenter.

#### **Modulus Measurement by Indentation**

Various workers have attempted to correlate penetration of a probe with a modulus, as in many "hardness" measurements. According to Muhr and Thomas,<sup>5</sup> the penetration distance, P, by a probe of radius R under a force F, is related to the shear modulus G by

$$P^3 R = F^2 (3/16G)^2 \tag{3}$$

In the present work,  $R = 35 \times 10^{-6}$  meter, and F = 0.6 newton, so that

$$G = 0.65 \times 10^{-3} \times P^{-3/2} \tag{4}$$

For  $P = 1 \mu m$ , the formula gives G = 0.7 MPa. The modulus of a polymer glass typically is on the order of 1000 MPa and the "zero-time" modulus of a rubbery melt is about 1 MPa. Since the microindenter does appear to distinguish the transition from glass to rubbery melt, one can conclude that the measurements are in qualitative agreement with the predictions of eq. 3. That is, the needle should not penetrate into a glassy polymer to any significant degree, while it should penetrate a rubbery melt.

# **CONCLUSIONS**

The microindenter test is rapid and easily carried out. Because it is essentially measuring a time-dependent modulus, it does not yield the detailed data on transitions available from a dynamic test. Also, it does not give the thermodynamic information one obtains from the DSC. However, when dealing with many amorphous polymer systems (including plasticized ones), the microindenter gives useful, unequivocal data with a minimum investment of time and money. The simple, rugged, and inexpensive nature of the apparatus makes it suitable for an undergraduate experiment in a polymer laboratory course.

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